

Dear Author,

Here are the final proofs of your article. Please check the proofs carefully.

Please note that at this stage you should only be checking for errors introduced during the production process. Please pay particular attention to the following when checking the proof:

- Author names. Check that each author name is spelled correctly, and that names appear in the correct order of first name followed by family name. This will ensure that the names will be indexed correctly (for example if the author's name is 'Patel, J.', she will be cited as 'Jane Patel').
- Affiliations. Check that all authors are cited with the correct affiliations, that the author who will receive correspondence has been identified with an asterisk (*), and that all equal contributors have been identified with a well sign (#).
- Ensure that the main text is complete.
- Check that figures, tables and their legends are included and in the correct order.
- Look to see that queries that were raised during copy-editing or typesetting have been resolved.
- Confirm that all web links are correct and working.
- Ensure that special characters and equations are displaying correctly.
- Check that additional or supplementary files can be opened and are correct.

Changes in scientific content cannot be made at this stage unless the request has already been approved. This includes changes to title or authorship, new results, or corrected values.

How to return your corrections

Returning your corrections via email:

- Annotate the proof PDF with your corrections.
- Remember to include the journal title, manuscript number, and your name when sending your response via email.

After you have submitted your corrections, you will receive email notification from our production team that your article has been published in the final version. All changes at this stage are final. We will not be able to make any further changes after publication.

Kind regards,

A mouse model of sleep deprived neuropathology to study resilience to Alzheimer's disease

Yan Dou^a, Jinzi Wu^a, Lida Zhu^a, Martin Darvas^a, Warren Ladiges^{a,*}

^a Department of Comparative Medicine and Department of Pathology, School of Medicine, University of Washington, Seattle, WA, USA.

Abstract

Resilience to Alzheimer's disease (AD) is a well-known clinical and pathological observation, but the mechanisms involved are not known. Adequate sleep is a potential factor in maintaining resilience to neurodegenerative conditions such as AD. It is well known that sleep deprivation is a major health concern in developed countries and is associated with increasing age. Normal aging produces sleep disturbances including sleep fragmentation and sleep loss in humans, which has recently been recognized as an important risk factor for AD. The idea of enhancing AD resilience by targeting sleep deprivation encompasses the concept of physical resilience to aging. We demonstrate the detrimental effects of sleep deprivation in aging mice and propose a mouse model of AD to test the concept. The model provides a means of testing therapeutics that could be investigated in clinical trials designed to prevent sleep deprivation and enhance resilience to aging and AD in the elderly.

Keywords: Mouse model of sleep deprivation, resilience to aging, resilience to Alzheimer's disease

The prevalence of neurodegenerative diseases such as Alzheimer's disease (AD) is expected to soar with the number of elderly individuals in both developed and developing countries now rising dramatically. Efforts to find disease-modifying treatments have been largely unsuccessful in part due to inability to assess early signs of disease and risk factors associated with increasing age, and the lack of predictable preclinical animal models. One approach to investigating risk factors for AD is to look at attributes that oppose risk, ie, resilience. Resilience is the ability of an organism to successfully respond and recover from physical stress. The occurrence of resilience to AD has been suggested based on the absence of clinical signs of cognitive impairment but presence of neuropathological lesions typical of AD at autopsy. The causes for this apparent paradox are not known, but resilience to physical stress could play a role. A good example of a type of stress that has neurological effects is sleep deprivation.

It is fairly well established that sleep disturbances in-

crease the risk of dementia and AD and there is growing evidence that poor sleep leads to acceleration in the progression of neurodegenerative disorders and may play a role in pathogenesis. Clinical studies are well supported by animal studies showing that sleep deprivation induces learning and memory dysfunction and exacerbates AD-like pathologies in AD transgenic mice [1]. Therefore, sleep deprivation is a physical stressor that decreases resilience to healthy aging and increases the risk for AD (Figure 1). Prevention of the adverse effects of sleep deprivation would be a logical approach to enhance resilience to AD by enhancing resilience to aging.

Preclinical models for investigating resilience to aging and AD are not well described. We have developed an aging mouse model of short-term sleep deprivation that results in neurodegenerative changes and cognitive impairment [2]. We suspected that sleep deprivation would adversely impact synaptic function through mitochondrial disruption. Mitochondrial dysfunction leading to decreased ATP production and increased ROS resulting from impaired electron transport chain function appears prominently in both aging and AD [3-5]. We showed that sleep-deprived mice had significantly higher levels of mitochondrial ROS production and a significant decrease in ATP synthesis in the brain compared with non-sleep deprived mice [6]. Closely linked with mitochondrial dysfunction, our mouse model of short-term sleep deprivation showed that learning impairment was associated with mechanisms related

* Corresponding author: Warren Ladiges

Mailing address: Department of Comparative Medicine, School of Medicine, University of Washington, Seattle, WA, 98195, USA.

E-mail: wladijes@uw.edu

Received: 31 May 2020 / Accepted: 06 June 2020

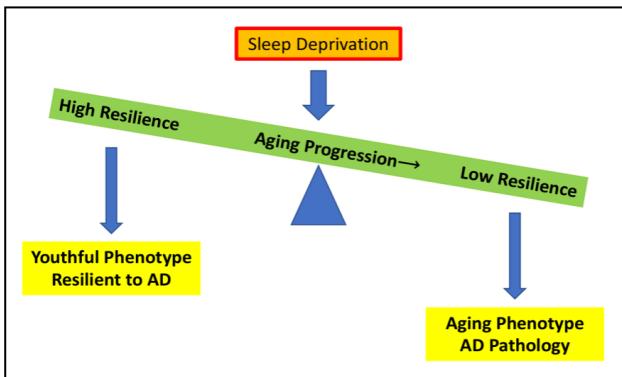


Figure 1. As aging progresses, the physical resilience of an individual towards aging and sleep deprivation decreases, tipping the scale and leading to an aging phenotype and the development of AD pathology. Some individuals continue to maintain or regain functions quickly following insults as age increases, producing a more youthful phenotype and resilience to AD.

to synaptic plasticity in the hippocampus. N-methyl-D-aspartate receptor, a well-known synaptic glutamate receptor that regulates long-term potentiation and synaptic plasticity [7-8], and brain derived neurotropic factor, a supportive regulator of synaptic plasticity, were both significantly decreased. In addition, neuroinflammatory cytokine levels of MCP-1, TNF- α , and IL-6 were increased.

This aging mouse model of short-term sleep deprivation provides an excellent background for studying effects on pathogenesis of AD. Certainly it would be applicable to currently available transgenic AD mouse models. However, in most of the transgenic lines, a significant increase in APP production begins early in life possibly in utero, which may trigger consequences that alter aging and the rate of aging, and may not mimic the biochemical changes observed in AD. Most importantly, it is problematic to measure early events in the development of AD with increasing age in these models. Desirable features of a model system would allow for a precisely controlled challenge time and onset of disease in an aging background. We have shown that introduction of A β 42/P301Ltau into the brains of older mice results in cognitive impairment and neuropathology including inflammation, neuronal degeneration, synaptic dysfunction, and vascular impairment (unpublished data). Effective use of this model does require access to aging mice, AAV vectors, and expertise in stereotactic injections into specific regions of the mouse brain [9]. Whatever the AD model, short-term sleep deprivation provides a highly informative and rapid model to investigate ways of enhancing resilience to aging and AD by preventing sleep deprived neuropathology with drugs or other intervention measures (Figure 2).

Declaration

Conflict of Interest: The authors declare that they have no conflict of interest.

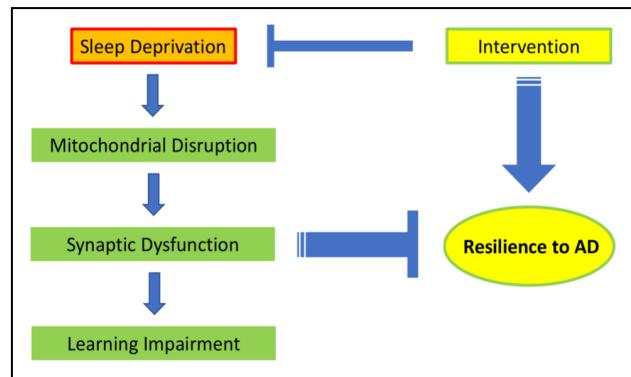


Figure 2. Short term sleep deprivation induces a cascade of molecular events starting with mitochondrial disruption to synaptic dysfunction and subsequent learning impairment. The neurological effects of sleep deprivation could intensify over time and decrease resilience to AD. Intervention strategies that prevent neuronal pathology induced by sleep deprivation in aging mice would have the potential to alleviate cognitive impairment and increase resilience to AD.

References

1. Di Meco A, Joshi Y B, Praticò D. Sleep deprivation impairs memory, tau metabolism, and synaptic integrity of a mouse model of Alzheimer's disease with plaques and tangles. *Neurobiology of aging*, 2014, 35(8): 1813-1820.
2. Mukherjee K, Lee A, Zhu L, et al. Sleep-deprived cognitive impairment in aging mice is alleviated by rapamycin. *Aging Pathobiology and Therapeutics*, 2019, 1(1): 05-09.
3. Salminen A, Haapasalo A, Kauppinen A, et al. Impaired mitochondrial energy metabolism in Alzheimer's disease: Impact on pathogenesis via disturbed epigenetic regulation of chromatin landscape. *Progress in neurobiology*, 2015, 131: 1-20.
4. Stahon K E, Bastian C, Griffith S, et al. Age-related changes in axonal and mitochondrial ultrastructure and function in white matter. *Journal of Neuroscience*, 2016, 36(39): 9990-10001.
5. Pérez M J, Ponce D P, Osorio-Fuentealba C, et al. Mitochondrial bioenergetics is altered in fibroblasts from patients with sporadic Alzheimer's disease. *Frontiers in neuroscience*, 2017, 11: 553.
6. Wu J, Dou Y, Ladiges W C. Adverse neurological effects of short-term sleep deprivation in aging mice are prevented by SS31 peptide. *bioRxiv*, 2020.
7. Lüscher C, Malenka R C. NMDA receptor-dependent long-term potentiation and long-term depression (LTP/LTD). *Cold Spring Harbor perspectives in biology*, 2012, 4(6): a005710.
8. Silva A J. Molecular and cellular cognitive studies of the role of synaptic plasticity in memory. *Journal of neurobiology*, 2003, 54(1): 224-237.
9. Darvas M, Keene D, Ladiges W. A geroscience mouse model for Alzheimer's disease. *Pathobiology of Aging and Age-Related Diseases*, 2019.

Author Query Form

Dear Author,

During the copy-editing of your paper, the following queries arose.

Please refer to the query reference call out numbers in the page proofs and respond to each by marking the necessary comments using the PDF annotation tools.

Please remember illegible or unclear comments and corrections may delay publication.

Many thanks for your assistance.

Query Reference	Query	Remark
Q1	Author: Please confirm that given names and surnames/family names have been identified correctly.	
Q2	Affiliations: Please check if the affiliations are presented correctly.	
Q3	Corresponding author: Please check if the information are presented correctly.	
Q4	Please check if the reference is correct?	
Q5	We added a Declaration to the article, is there anything to add?	