

1 Mini-Review

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3 **Biobased Nanoemulsions for Targeted Drug Delivery to Treat**
4 **Dementia and Aging**

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14 **Abstract**

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16 Early changes in cerebrovascular hemodynamics and endothelial function can contribute
17 to altered cognitive function and systemic vascular stiffness later in life. Accordingly,
18 vascular pathology accompanies the mechanisms underlying aging. The development of
19 chronic cerebral hypoperfusion, which leads to a lack of blood flow to the brain, is a
20 common trait despite the various and complex pathogenic mechanisms causing these
21 vascular alterations. Drugs or other bioactive compounds can be incorporated into a "high
22 density lipoprotein-like" ("HDL-like") lipid nanocarrier to create a multifunctional
23 "combination therapeutic" that can target cell-surface scavenger receptors, primarily SR-
24 BI. The enhanced endocytosis of the nanocarrier's drug contents into various target cells,
25 made possible by this proposed (biomimetic-nanocarrier) therapeutic vehicle, increases
26 the likelihood that this multitasking "combination therapeutic" will be more effective at
27 various stages of dementia.

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29 **Keywords**

30 Cognitive impairment; dementia; lipid nanoparticles; nanocarrier; nanoemulsion;
31 scavenger receptors; targeted delivery

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33 **1. Introduction**

34 Emerging evidence from numerous animal models indicates that in the development of
35 Alzheimer's disease, cerebrovascular dysfunction frequently precedes both cognitive
36 decline and the start of neurodegenerative alterations [1-4]. In light of this fact, mixed
37 pathology – which displays both Alzheimer's disease and vascular abnormalities – has
38 been identified as the most frequent cause of clinical dementia in elderly people [5]. The
39 senile plaques (or characteristic lesions of Alzheimer's disease) are extracellular deposits
40 mostly composed of insoluble aggregates of amyloid- β protein (A β) fibrils and are
41 infiltrated by reactive microglia and astrocytes. A β fibrils cause the production of
42 neurotoxins like reactive oxygen species, by microglia, and have a damaging effect on
43 neurons. Microglia have been implicated as scavenging cells that are responsible for
44 clearing A β fibril deposits of Alzheimer's disease. Accordingly, microglial scavenger
45 receptors have already been described as novel targets for therapeutic interventions in
46 Alzheimer's disease [5].

47

48 **2. Targeted Nanotherapy for Late-Onset Dementia**

49 A breakdown of the blood-brain barrier (BBB) resulting from structural changes to the
50 cerebral microvasculature are examples of the vascular abnormalities connected to small-
51 vessel illness. Therefore, it is not unexpected that numerous epidemiological studies have
52 found a significant overlap between the risk factors for late-onset Alzheimer's disease and
53 small-vessel cerebrovascular illness [3].

54 As specifically regards drug targeting, it has been documented repeatedly that cell-
55 surface scavenger receptors, primarily class B type I (i.e., SR-BI), allow for the
56 pharmacological targeting [3,6-13] of endothelial regulation and/or repair [13-15].
57 Moreover, the earlier reviewed [3,6] "lipid-coated microbubble/nanoparticle-derived"
58 (LCM/ND) nanoemulsion can conceivably function as a targeted, apoA-I-based, (SR-BI
59 mediated) therapeutic agent for common (late-onset) dementias. Specifically, this
60 expectation is based on the fact that SR-BI has already been identified as a major receptor
61 for high-density lipoprotein or HDL (with their major apolipoprotein (apo)A-I) [16-18].
62 Such LCM/ND nanoemulsions may well be able to partially imitate the heterogeneity of

63 HDL particles due to similarities in the lipid content, which has been documented
64 previously [3,5,6], between HDL and these nanoemulsion (drug-carrier) particles.

65 The ongoing discoveries of cerebrovascular pathology [5,6,19-29] and an apparent
66 endothelium dysfunction [3,17,18,25,30-36], in both Alzheimer's disease and its major
67 risk factors [5,6,29-41], provide additional impetus for this particular targeted delivery
68 approach which uses the proposed LCM/ND lipid nanoemulsion for treating the more
69 prevalent (late-onset) dementias. Adding certain drug molecules to the LCM/ND lipid
70 nanoemulsion type, which is known to be an effective drug carrier [3,42,43], would make
71 the following possible: Multiple cell types, which are often implicated in Alzheimer's
72 disease [6], can be simultaneously nanotargeted via cell-surface SR-BI [42,43].

73

74 **3. Biobased Lipid Nanoemulsion: Size Distribution and Safety Studies**

75 Physical characterization of the actual size distribution of the LCM/ND lipid
76 nanoemulsion particles has already been extensively explored [3]. In these studies, the
77 scattered light was measured using five distinct optical particle counters (different models)
78 that were all produced by Particle Measuring Systems (Boulder, CO). Given that all of
79 the data were essentially identical, it can be concluded that the LCM/ND lipid
80 nanoemulsion did not vary in particle size under the various concentration settings. Over
81 a period of time (at least one month), there was no discernible change in the size
82 distribution [3]. When measured with optical particle counters, this nanoemulsion type
83 contains close to 10 billion particles ($< 0.1 \mu\text{m}$) per ml. 90% or more of the nanoemulsion
84 particles had diameters of less than $0.2 \mu\text{m}$.

85 The risk of embolism is negligible because neither in vitro nor in vivo investigations have
86 demonstrated that the LCM/ND lipid nanoemulsion particles aggregate or coalesce into
87 any "superparticle or microbubble-like" structure more than $5 \mu\text{m}$ [3]. The acute
88 intravenous LD_{50} for both species was determined to be greater than 4.8 ml/kg.
89 Furthermore, no overt toxicity or mortalities were observed at a dose of 4.8 ml/kg [3].
90 Using the same (isotonic) lipid nanoemulsion agent, it was determined in additional
91 animal (range-finding subchronic intravenous) toxicology studies [3] that the following
92 toxicology outcomes were seen at intravenous doses of 0.14 ml/kg given three times a

93 week for six weeks (in rats) and 0.48 ml/kg given three times a week for three months (in
94 rabbits): The blood chemistry, liver functions, hematology, and coagulation profile did
95 not change adversely, and neither did the the histology of the adrenals, bladder, brain,
96 heart, kidney, liver, lungs, marrow, pituitary, spleen, testes, thyroid, and ureters [3].

97

98 **4. Biobased LCM/ND Nanoemulsion Type Consists of Lipid Cubic Phases**

99 A noteworthy lipid cubic phase (i.e., Fd3m) is created by a variety of lipid mixtures,
100 when dispersed in water, and is based on packings of discrete inverse micellar aggregates
101 [3,44,45,47-50]. The LCM/ND lipid nanoemulsion is particularly pertinent to the
102 dispersed Fd3m cubic phase because both of these lipid structures frequently contain
103 cholesterol and three types of (saturated) glycerides, namely tri-, di-, and monoglycerides
104 [51,52].

105 Given that these nanoemulsion particles are expected to adsorb apoA-I (see Sect. 2,
106 paragr. 2), it is plausible that they will be effective at their intended targets [3]. Again,
107 when the aforementioned information is combined with the known heterogeneity of HDL
108 particles and the well-documented multiligand capability of SR-BI, this receptor emerges
109 as the top candidate (of all lipoprotein receptors) for major involvement in the enhanced
110 endocytosis of LCM/ND nanoemulsion particles into, and transcytosis across, the
111 endothelial cell layer of the BBB [3].

112

113 **5. Concluding Remarks**

114 The use of lipid nanocarriers, such as nanoemulsions, to circumvent the barriers that
115 prevent medication transport across the BBB has, very recently, brought these materials
116 back into the spotlight. Particularly, the "HDL-like" lipid nanoemulsion type (also
117 referred to as "LCM/ND nanoemulsions" [3,5,6]) displays a natural tendency to target
118 SR-BI receptors (cf. above) and, therefore, would likely act to increase the total
119 concentration of (targeted) drug in the brain parenchyma – due to this nanocarrier's
120 direct interaction with SR-BI receptors on the BBB. Additionally, this particular targeting
121 behavior can facilitate the drug's enhanced endocytosis into various target cells [3,5,6,53-

122 55], which in turn raises the possibility this "HDL-like" nanoemulsion will be more
123 effective at different stages of dementia (cf. [28]) when used as a multitasking (drug-
124 carrying) therapeutic vehicle.

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126 **References**

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